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| **MODIFICATION PROPOSAL FORM** | | | | | |
| **Proposer**  *(Company)* | **Date of receipt**  *(assigned by System Operator)* | | **Type of Proposal**  *(delete as appropriate)* | | **Modification Proposal ID**  *(assigned by System Operator)* |
| **Utility Regulator** | **09 May 2019** | | **Standard** | | **CMC\_05\_19** |
| **Contact Details for Modification Proposal Originator** | | | | | |
| **Name** | | **Telephone number** | | **Email address** | |
| **Kevin Lenaghan** | |  | | [**Kevin.Lenaghan@uregni.gov.uk**](mailto:Kevin.Lenaghan@uregni.gov.uk) | |
| **Modification Proposal Title** | | | | | |
| **General Housekeeping Modifications** | | | | | |
| **Documents affected**  *(delete as appropriate)* | | **Section(s) Affected** | | **Version number of CMC used in Drafting** | |
| **Capacity Market Code** | | **F.5.1.2(b), J.4.3.5, J.5.1.2, J.5.1.3, F.8.2.1 (b) (ii)** | | **1.0** | |
| **Explanation of Proposed Change**  *(mandatory by originator)* | | | | | |
| General Housekeeping Modifications:   * Typographical Correction - F.5.1.2(b) - conflict in the CMC, whereby: * F.5.1.1 states that the SOs shall calculate the final Annual Capacity Payment Exchange Rate...; whereas * F.5.1.2(b) states that the SOs shall publish the FAIP within two days after the last of the date the RAs provide the Demand Curve and the final Annual Capacity Payment Exchange Rate. * Typographical Correction – Paragraph J.4.3.5: * Double reference to J.4.3.5 (c)- "In a certificate given under paragraph **J.4.3.2(c)J.4.3.2(c)**, the Certified Engineer giving the certificate shall …" * Typographical Correction – Paragraph J.5.1.2: * Double reference within J.5.1.2 - "An application under paragraph **J.5.1.1J.5.1.1** shall include:…" * Typographical Correction – Paragraph J.5.1.3: * Double reference to J.5.1.1 - "The System Operators shall approve a request under paragraph **J.5.1.1J.5.1.1**, if they consider that the changed arrangements will…" * Typographical Correction – Paragraph F.8.2.1 (b) (ii): * "(ii) the Locational Capacity Constraint Information referred to in paragraphs (a), (d) and (e) of the definition of that term in the Glossary;" - the sub-clauses of the definitions within the Glossary only run from (a) to (d). | | | | | |
| **Legal Drafting Change**  *(Clearly show proposed code change using* ***tracked*** *changes, if proposer fails to identify changes, please indicate best estimate of potential changes)* | | | | | |
| ***Modify F.5.1.2:***  F.5.1.2 The System Operators shall use reasonable endeavours to publish the Final Auction Information Pack for a Capacity Auction by the later of:   1. the Final Auction Information Pack Date specified in the applicable Capacity Auction Timetable; and 2. two Working Days after the last of the date the Regulatory Authorities provide the Demand Curve and *approval of* the final Annual Capacity Payment Exchange Rate for the Capacity Auction to the System Operators.   ***Modify J.4.3.5:***  J.4.3.5 In a certificate given under paragraph J.4.3.2(c), the Certified Engineer giving the certificate shall confirm that they are independent within the meaning of paragraph J.4.3.3 and shall certify each of the matters referred to in paragraph J.4.3.3.  ***Modify J.5.1.2:***  J.5.1.2 An application under paragraph J.5.1.1shall include:     1. reasons for the request in reasonably sufficient detail to enable the System Operators in considering the request; 2. supporting evidence; and 3. details of any impact on other Implementation Plan dates, with detailed reasoning.   ***Modify J.5.1.3:***  J.5.1.3 The System Operators shall approve a request under paragraph J.5.1.1, if they consider that the changed arrangements will not decrease the likelihood of delivery of the Awarded New Capacity prior to the Long Stop Date. The System Operators shall not unreasonably withhold or delay their approval under this paragraph.  ***Modify F.8.2.1 (b) (ii):***  F.8.2.1 (b) (ii) the Locational Capacity Constraint Information referred to in paragraphs (a), (c)and (d) of the definition of that term in the Glossary; | | | | | |
| **Modification Proposal Justification**  *(Clearly state the reason for the Modification)* | | | | | |
| Typographical Correction - F.5.1.2(b) – Avoid ambiguity in regards to the provision of the final Annual Capacity Payment Exchange Rate.  Typographical Correction - J.4.3.5, J.5.1.2, J.5.1.3, F.8.2.1 – General housekeeping corrections. | | | | | |
| **Code Objectives Furthered**  *(State the Code Objectives the Proposal furthers, see Sub-Section A.1.2 of the CMC Code Objectives)* | | | | | |
| A.1.2.1 This Code is designed to facilitate achievement of the following objectives (the “Capacity Market Code Objectives”):  (e) to provide transparency in the operation of the SEM; | | | | | |
| **Implication of not implementing the Modification Proposal**  *(State the possible outcomes should the Modification Proposal not be implemented)* | | | | | |
| F.5.1.2 – Failure to modify this section could lead to confusion as to who provides the final Annual Capacity Payment Exchange Rate. | | | | | |
| **Impacts**  *(Indicate the impacts on systems, resources, processes and/or procedures)* | | | | | |
| No material impact to systems, resources and processes/procedures. | | | | | |
| ***Please return this form to the System Operators by email to*** [***modifications@sem-o.com***](mailto:modifications@sem-o.com) | | | | | |

**Notes on completing Modification Proposal Form:**

1. **If a person submits a Modification Proposal on behalf of another person, that person who proposes the material of the change should be identified on the Modification Proposal Form as the Modification Proposal Originator.**
2. **Any person raising a Modification Proposal shall ensure that their proposal is clear and substantiated with the appropriate detail including the way in which it furthers the Code Objectives to enable it to be fully considered by the Modifications Committee.**
3. **Each Modification Proposal will include a draft text of the proposed Modification to the Code unless, if raising a Provisional Modification Proposal whereby legal drafting text is not imperative.**
4. **For the purposes of this Modification Proposal Form, the following terms shall have the following meanings:**

**Agreed Procedure(s): means the detailed procedures to be followed by Parties in performing their obligations and functions under the Code as listed in Appendix D “List of Agreed Procedures”.**

**T&SC / Code: means the Trading and Settlement Code for the Single Electricity Market**

**Modification Proposal: means the proposal to modify the Code as set out in the attached form**

**Derivative Work: means any text or work which incorporates or contains all or part of the Modification Proposal or any adaptation, abridgement, expansion or other modification of the Modification Proposal**

**The terms “Market Operator”, “Modifications Committee” and “Regulatory Authorities” shall have the meanings assigned to those terms in the Code.**

**In consideration for the right to submit, and have the Modification Proposal assessed in accordance with the terms of Section 2 of the Code (and Agreed Procedure 12), which I have read and understand, I agree as follows:**

**1. I hereby grant a worldwide, perpetual, royalty-free, non-exclusive licence:**

* 1. **to the Market Operator and the Regulatory Authorities to publish and/or distribute the Modification Proposal for free and unrestricted access;**
  2. **to the Regulatory Authorities, the Modifications Committee and each member of the Modifications Committee to amend, adapt, combine, abridge, expand or otherwise modify the Modification Proposal at their sole discretion for the purpose of developing the Modification Proposal in accordance with the Code;**
  3. **to the Market Operator and the Regulatory Authorities to incorporate the Modification Proposal into the Code;**

**1.4 to all Parties to the Code and the Regulatory Authorities to use, reproduce and distribute the Modification Proposal, whether as part of the Code or otherwise, for any purpose arising out of or in connection with the Code.**

**2. The licences set out in clause 1 shall equally apply to any Derivative Works.**

**3. I hereby waive in favour of the Parties to the Code and the Regulatory Authorities any and all moral rights I may have arising out of or in connection with the Modification Proposal or any Derivative Works.**

**4. I hereby warrant that, except where expressly indicated otherwise, I am the owner of the copyright and any other intellectual property and proprietary rights in the Modification Proposal and, where not the owner, I have the requisite permissions to grant the rights set out in this form.**

**5. I hereby acknowledge that the Modification Proposal may be rejected by the Modifications Committee and/or the Regulatory Authorities and that there is no guarantee that my Modification Proposal will be incorporated into the Code.**